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# RECOGNITION OF HAND GESTURES TRACKED BY A DATAGLOVE

*Discriminative Training and Environment Description to Improve Recognition  
Performance*

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# ABSTRACT

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# ABSTRACT

- This is a gesture recognition system exploiting discriminative training algorithm based on Maximal Mutual Information (MMI) and the integration of environment information.
- The environment is described through a set of fuzzy clauses, on the basis of which a priori probabilities are computed.
- Adaptive systems such as unsupervised neural networks are used to build a codebook of symbols representing the hand's states.



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# SOLUTION OVERVIEW

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# SOLUTION OVERVIEW

SYSTEM WITH TWO ANALYSIS BLOCKS

Sequence Recognition

based on  
HMM / MMI

Environment Description

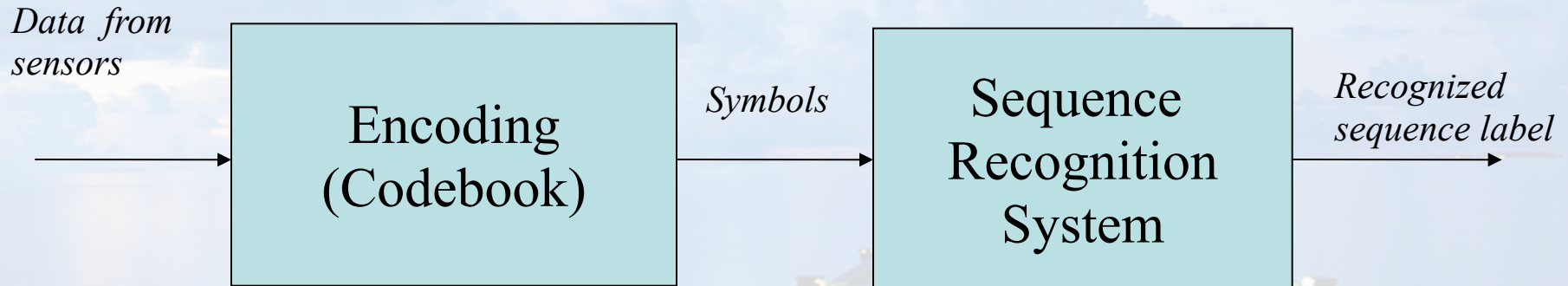
Fuzzy logic  
Inference Motor



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# Sequence Recognition

- The sequence recognition is implemented through a classifier made of two components arranged in cascade:
  - A symbolic encoding of input data
  - A sequence recognition system
- The Encoding stage transforms the input data stream into a sequence of symbols
- The recognition system is a classifier of sequence of symbols

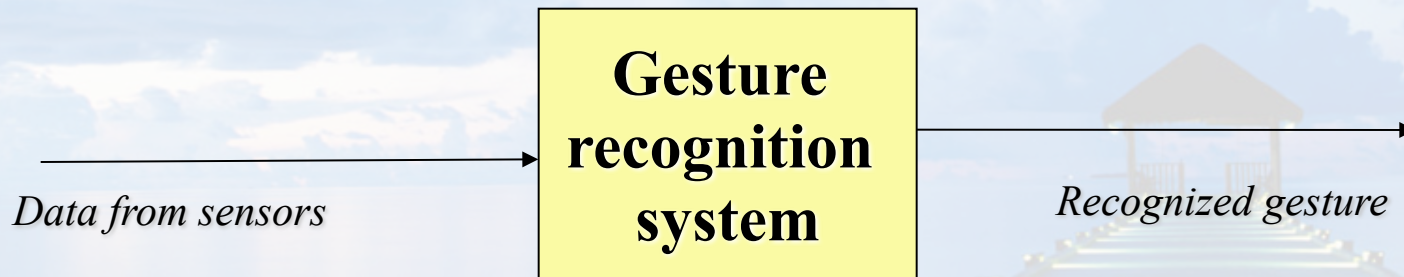




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# SOLUTION OVERVIEW

The recognition system takes data from sensors as input and returns a label identifying the recognized gesture





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# SOLUTION OVERVIEW

**Environment  
Fuzzy-Logic**

**Gesture  
recognition  
system**

The recognized  
gesture produces a  
change in the  
logical state of the  
system

*Data from sensors*

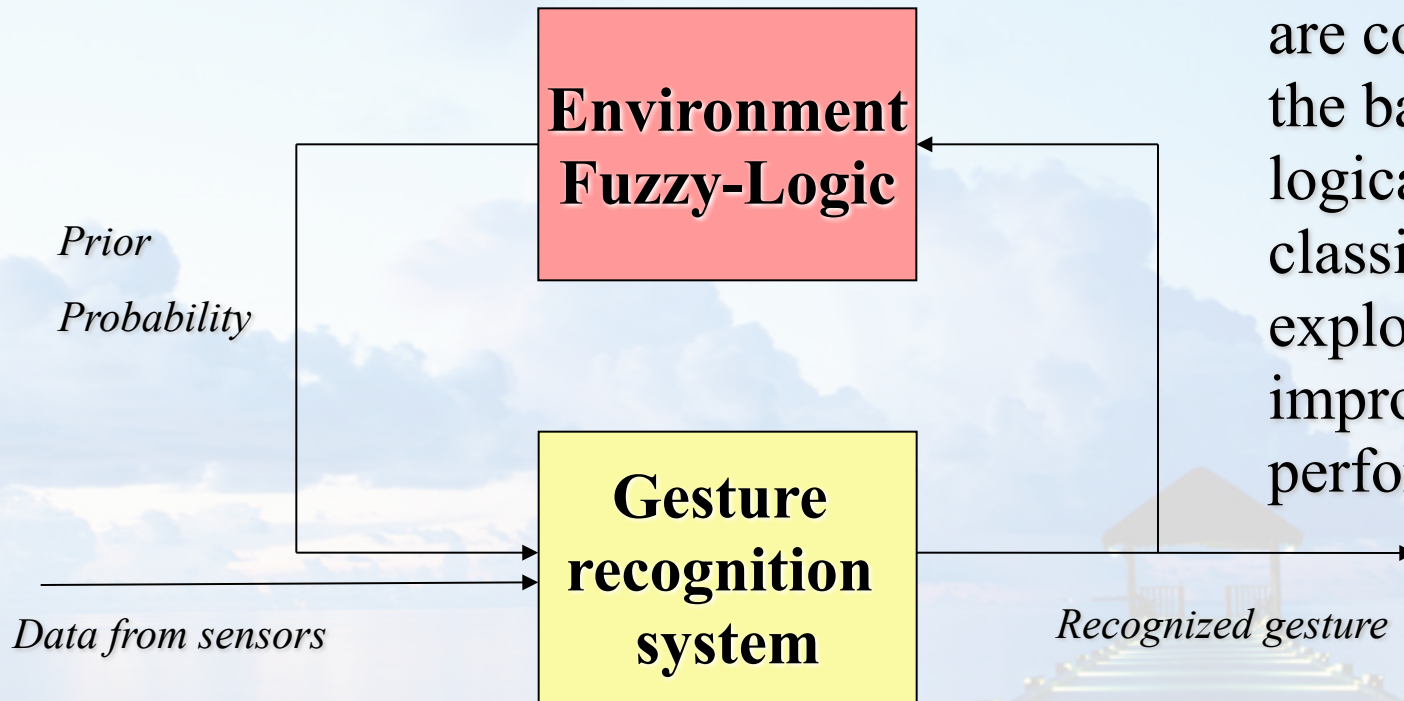
*Recognized gesture*





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# SOLUTION OVERVIEW

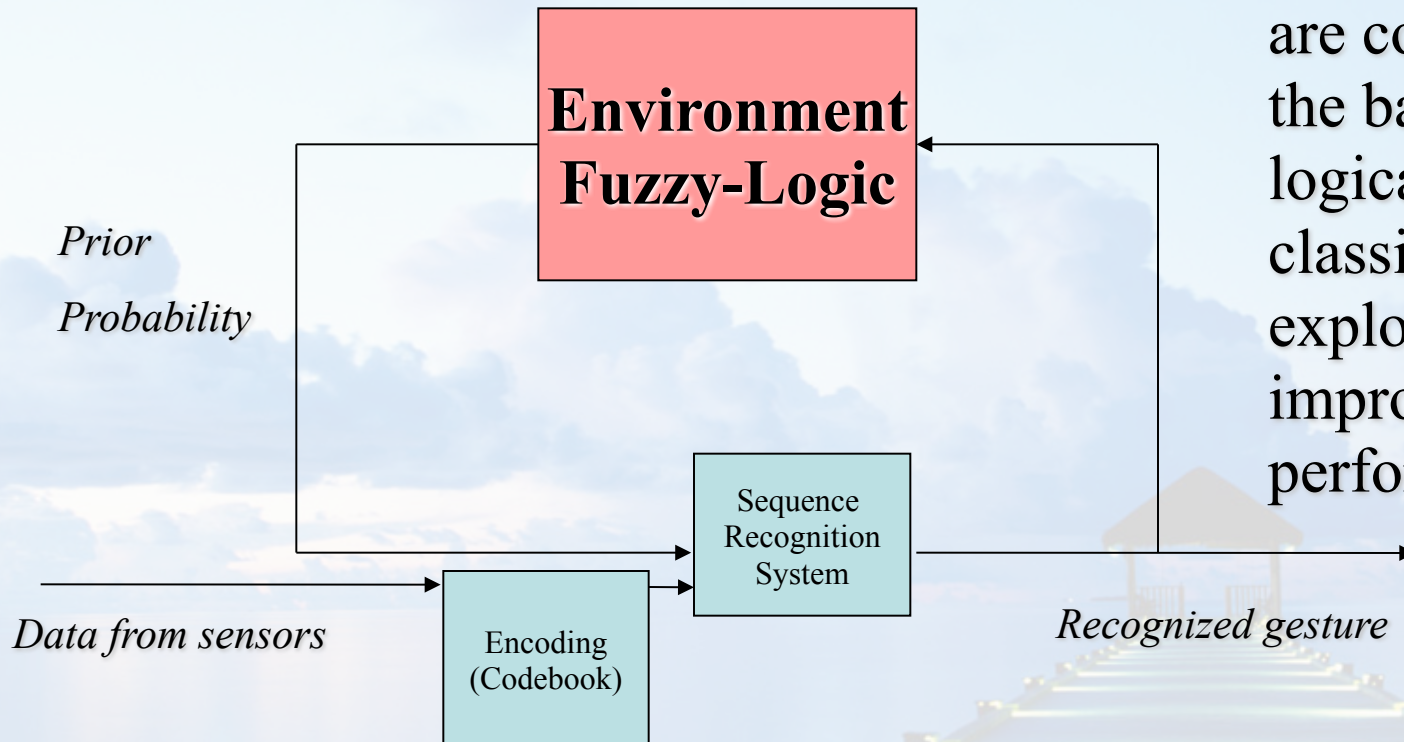


Prior probabilities are computed on the basis of the logical state: the classifier can exploit them to improve the performance



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# SOLUTION OVERVIEW



Prior probabilities are computed on the basis of the logical state: the classifier can exploit them to improve the performance



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# COMPONENTS

The codebook

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# THE CODEBOOK

The encoding stage has the following purposes:

- Perform an early analysis extracting significant data.
- Reduce the quantity of data to be sent to the classifier .
- Adapt data representation to the selected classifier



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# THE CODEBOOK

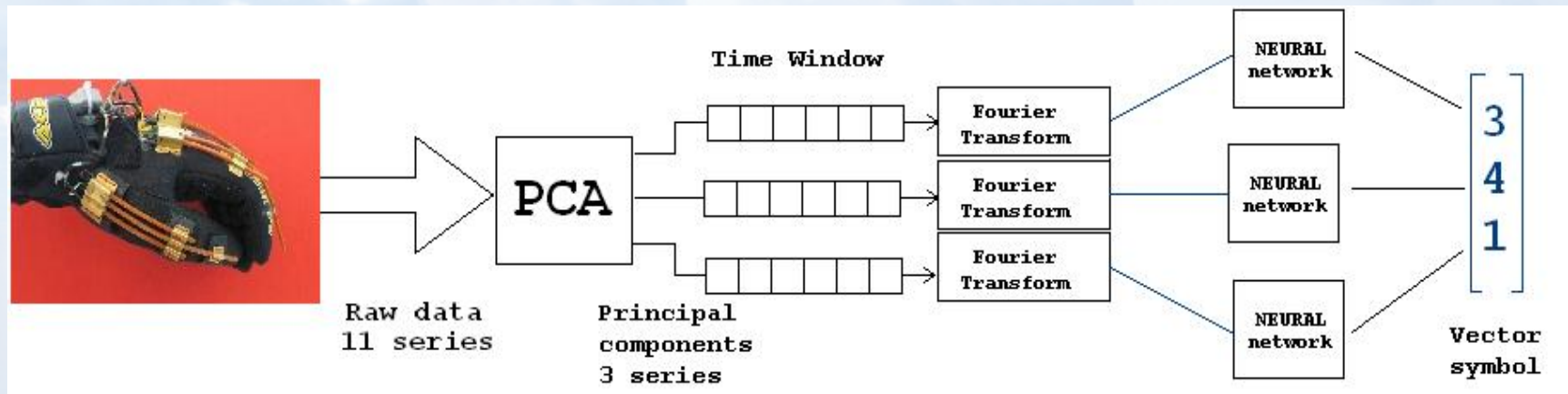
- Captured data are a sequence of vectors of the observed variables.
- The number of variables is generally high.
- The information gathered from sensor is often redundant



# THE CODEBOOK

The encoding is performed through the following steps:

1. PCA: To reduce the number of components keeping the loss of information acceptable.
2. Building component vector series: it is a time window.
3. Fourier transform (with some filtering)
4. Process with neural networks to produce symbols

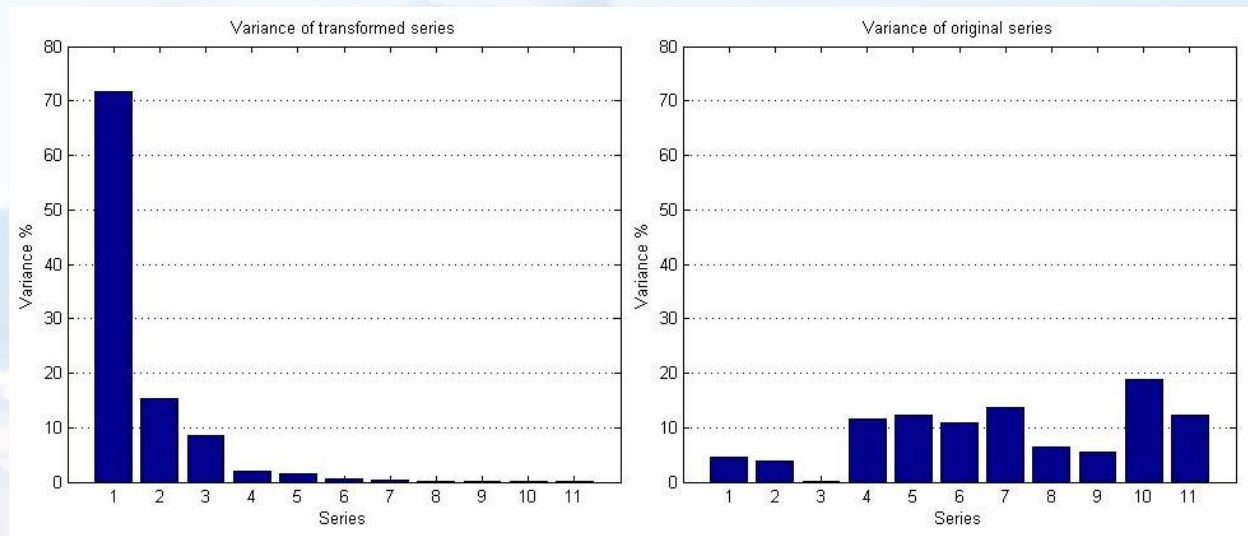




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# PCA OF DATA FROM DATAGLOVE

- 11 variables x 100Hz.
- Samples of 5 kind of gestures produced with an hand.



- Three series are enough to keep the 90% of the variance.



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# FREQUENCY ANALYSIS

- A discrete fourier transform DFT is applied to data sequence.
- The DFT

$$X_q = F(x_n) = \sum_{k=0}^{N-1} x_k e^{-j\frac{2\pi}{n}kq}$$

Produces a vector  $X$  with the same number of components of the vector  $x$

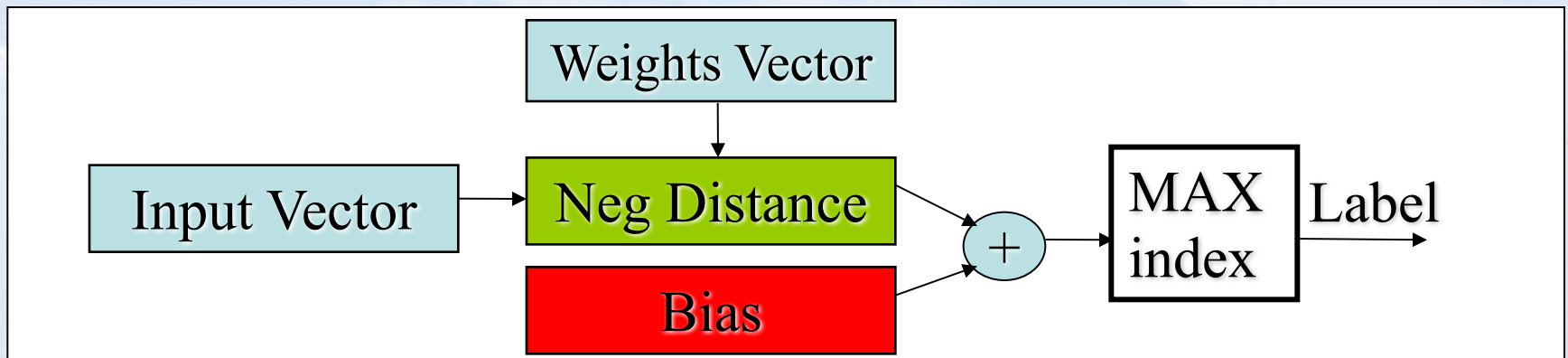
- **The first component  $X_0$  is dropped from the vector.**
- **It is a way to give to the movement more than to the position.**





# NEURAL NETWORKS

- The last stage of the encoder is a set of competitive neural networks (Kohonen, T., Self-Organizing and Associative Memory, New York: Springer-Verlag, 1984).
- Each network takes one of the sequence produced in the previous steps as input.
- Neural networks are adapted with a non supervised algorithm.
- This stage works as a classifier, associating labels to sets of input vectors





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# COMPONENTS

## Sequence Classifier

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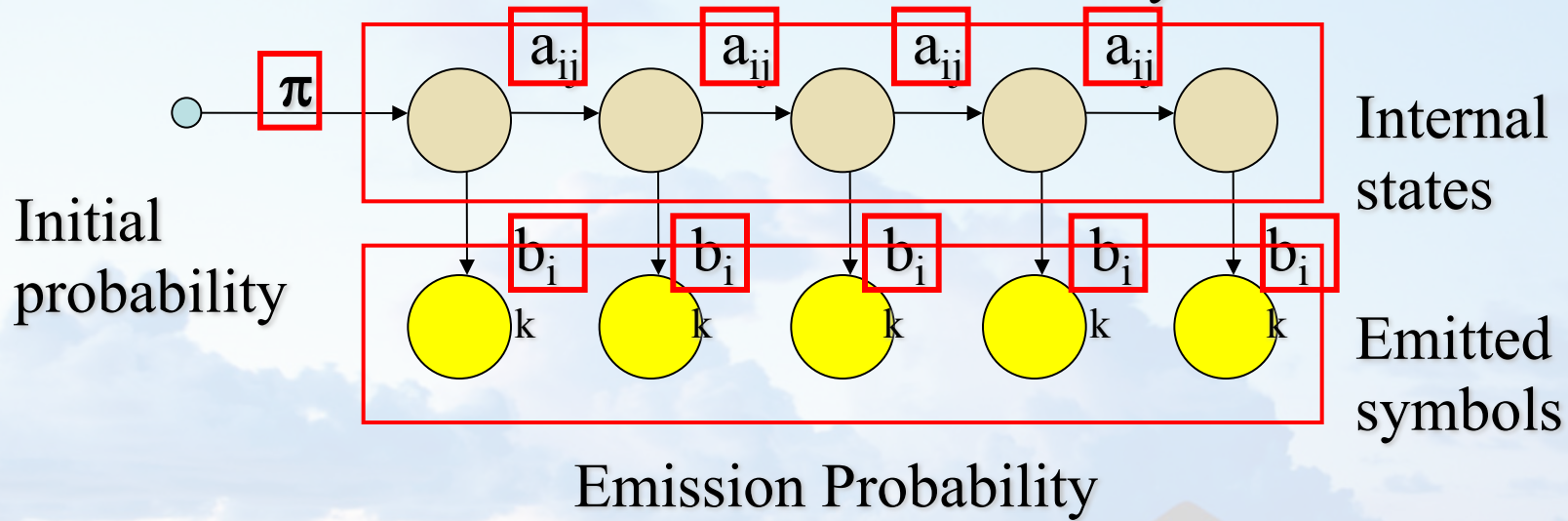
# SEQUENCE CLASSIFIER

- HMM are trained through examples in order to model the process to recognize
- Every HMM represent a stochastic process that could produce a sequence as output
- A given input sequence is assigned to the class associated to the HMM with the highest probability to emit it



# HIDDEN MARKOV MODELS

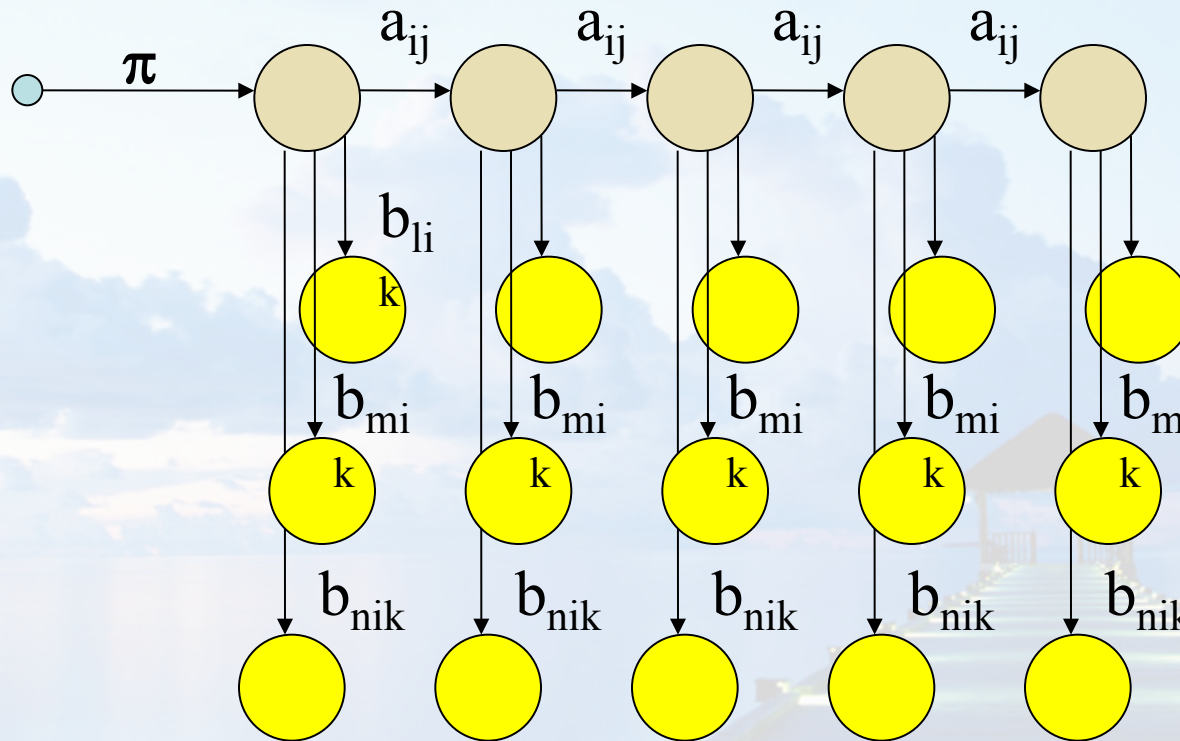
Transition Probability



Parameters are probability distributions and hence subject to the proper constraints



# HMM EXPLOITED



This model  
allows multiple  
observables



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# PARAMETER ADAPTATION

- Adapting the parameters of an HMM to maximize the emission probability of a given sequence is a well known problem for which several algorithms have been proposed in literature.
- **Maximization of likelihood** is the basis of the most of them.
- Although representing a well-known and (relatively) easy principle to match the model to the distribution of examples is not optimal for classification problems in the general case



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# DISCRIMINATIVE PARAMETERS ADAPTATION

- The Maximum Mutual information principle or MMI is applied
- The method has been successfully applied to speech recognition problems



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# MAXIMUM MUTUAL INFORMATION

- MI between the model  $M$  and the sequence  $S$  is

$$I(M_i; S) = \log \frac{P_{\theta}(S, M_i)}{P_{\theta}(S)P(M_i)} = \log P_i(S|M_i) - \log(P(S))$$

- Terms marked with  $\theta$  are dependent on system parameters





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# MAXIMUM MUTUAL INFORMATION

- Expressing it as  $\log(P_i(M_i|S)) - \log(P(M))$ ,
- *and* considering  $\mathbf{P(M)}$  independent from parameters makes evident that maximizing the MI means to maximize the probability  $\log(P_i(M_i|S))$  **that the observed sequence**  $S$ , has been produced by  $M_i$



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# MAXIMUM MUTUAL INFORMATION

- There is not an EM method such as baum-welsch for MMI
- The gradient algorithm is hence applied
- The maximized function is

$$F_{\theta} = \sum_i \sum_k I_{\theta}(M_i; S_k)$$

- The gradient is

$$\nabla_{\theta} F_{\theta} = \sum_i \nabla_{\theta} \sum_k I_{\theta}(M_i; S_k)$$

- With the single components, given a sequence S

$$\frac{\partial I(M; A)}{\partial \theta_i} = \frac{\frac{\partial P_{\theta}(S|M)}{\partial \theta_i}}{P_{\theta}(S|M)} - \frac{\sum_{\hat{M}} \frac{\partial P_{\theta}(S|\hat{M})}{\partial \theta_i} P(\hat{M})}{P_{\theta}(S)}$$



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# MAXIMUM MUTUAL INFORMATION

$$\frac{\partial I(M;A)}{\partial \theta_i} = \frac{\frac{\partial P_{\theta}(S|M)}{\partial \theta_i}}{P_{\theta}(S|M)} - \frac{\sum_{\hat{M}} \frac{\partial P_{\theta}(S|\hat{M})}{\partial \theta_i} P(\hat{M})}{P_{\theta}(S)}$$

- The first term is the derivative of the Likelihood function
- The gradient computed for a model is independent from other models parameters
- The second term produces a penalization for the probability of the sequence  $S$  to be emitted by the model  $M$ .
- *This is applied to Negative Examples*



# NEGATIVE EXAMPLES

- Negative examples are defined on the basis of the probability of being emitted by the wrong model
- A parameter  $\alpha$  between 0 and 1 is set
- A sequence  $S_j$ , associated to the model  $M_k$  becomes a negative example for the model  $M_i$  when

$$P(S_j | M_i) > \alpha P(S_j | M_k)$$

- The negative examples change at every step of the algorithm.



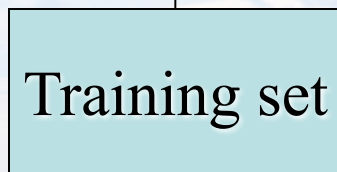
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# DISCRIMINATIVE PARAMETERS ADAPTATION

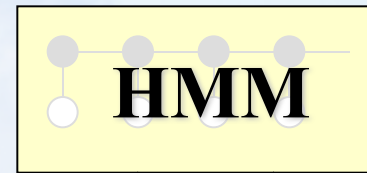
Maximum  
Likelihood



examples

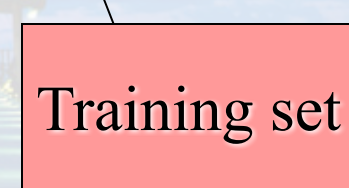
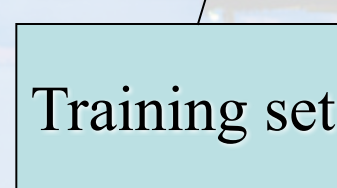


Maximum Mutual  
Information



Negative  
examples

examples





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# COMPONENTS

Fuzzy logic model for the environment

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# ENVIRONMENT LOGICAL DESCRIPTION

- An ad hoc defined language is used to describe a logical model of the environment.
- Objects, classes of objects and proposition about both objects and classes can be defined using a simplified of predicative logic.
- Fuzzy logic is particularly suitable to represent physical concepts like proximity or alignment



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# SYNTAX

The available syntax allows to describe the environment through:

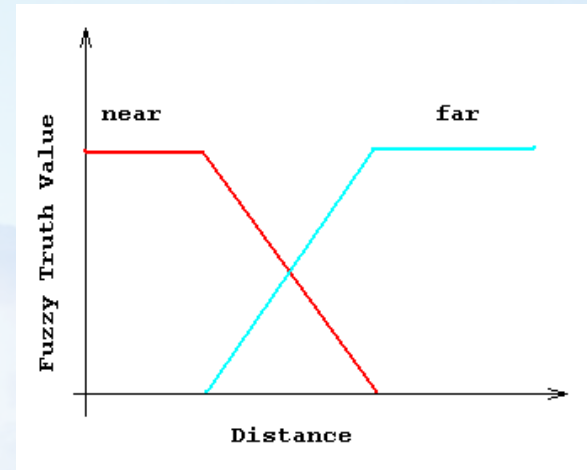
- set of statements with their truth values, needed to describe environment state i.e., "the hand is near the screw, with truth value 0.5".
- A set of rules to compute the truth values of statements i.e., "if a screw is screwed on a bolt then that screw is blocked".
- A set of rules to compute the probability of a gesture to be performed.
- A set of rules to define the consequences of an action performed by the user i.e. "if the user performs the action 'Pick' and the hand is near an object that object is then in-hand with truth value 1";





# SYNTAX

- Statement have a truth value that ranges from 0 to 1



- Logical operators are extended to fuzzy values by the following rules

$$A \text{ AND } B = \min(\text{value}(A), \text{value}(B))$$

$$A \text{ OR } B = \max(\text{value}(A), \text{value}(B))$$



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# SYNTAX

Example:

```
function screwed_on(2)
```

```
function blocked_on(2)
```

```
object bolt
```

```
bolt bolt1
```

```
object screw
```

```
screw screw1
```

```
fact screwed_on(screw1,bolt1) 1
```

```
rule screwed_on(screw #1,bolt #2) => blocked_on(screw #1,bolt #2)
```



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# SYNTAX

Example:

action screwing:

set\_on(screw #1,bolt #2) AND near(hand,screw #1)=>screwed\_on(screw #1,bolt #2)

action unscrewing:

near(hand,screw #1)=>~screwed\_on(screw #1,bolt #2)

priors screwed\_on(bolt1,screw2)

Screwing 0.8

Unscrewing 0.2

priors near(hand,screw)

Picking 0.5

Screwing 0.5



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# ENVIRONMENT LOGICAL DESCRIPTION

The inference engine computes truth values of arbitrary statements from the logical description. When the truth value of a statement is required, the following actions are performed:

1. The statement is searched in the description, if it is present its truth value is returned and the research is ended;
2. A rule having the state as effect is searched. If found the procedure is repeated for all the terms in the cause. The value of truth is then computed and returned.
3. If a value is not found in previous steps, 0 is returned



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# NOTES

- Function in this system are intended from objects to truth values, not from object to object as usually intended in predicative logic. The set of facts is hence a set of proposition or *datalog*
- Facts not represented and not inferable from other facts are considered 0
- The same rule is never used twice in the same demonstration three (anti-cycle rule )
- **This assures that a truth value is always computed in a finite time**



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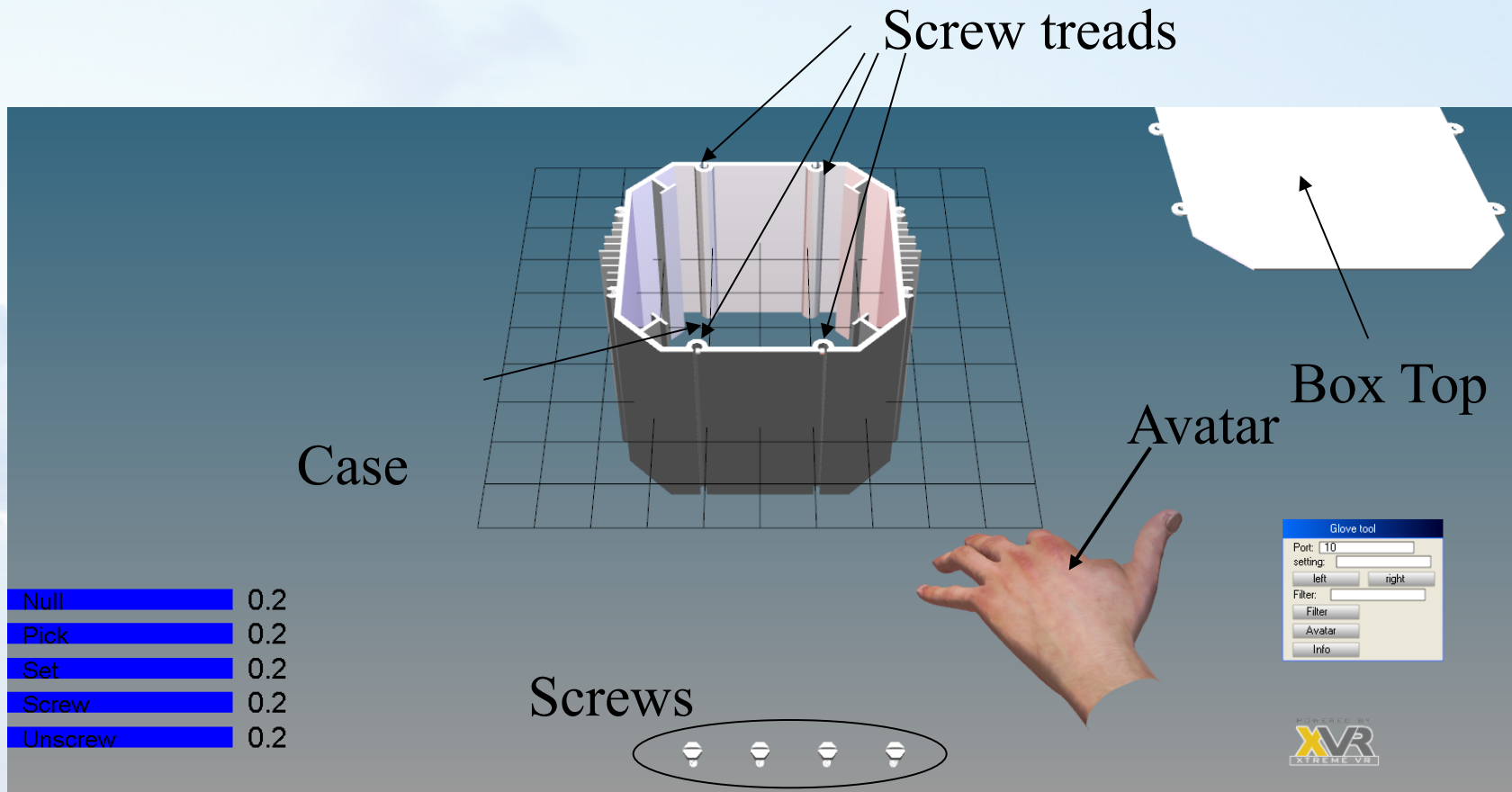
# AN APPLICATION EXAMPLE

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# VIRTUAL REALITY ELEMENTS



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# CAPTURE SYSTEM

Percro Dataglove



Hall effect sensors

Sensors end





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# PRIORS

Priors are displayed during the execution

Null	0.2
Pick	0.2
Set	0.2
Screw	0.2
Unscrew	0.2

Port: 10  
setting:  
left right  
Filter:  
Avatar  
Info

POWERED BY  
**XVR**  
EXTREME VR

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# ENVIRONMENT LOGICAL DESCRIPTION

## Functions

function screwed\_on(2)

function set\_on(2)

function near(2)

function dummy(1)

function inhand(1)

function blocked\_on(2)



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# ENVIRONMENT LOGICAL DESCRIPTION

## Objects

object hand  
hand lefthand  
object screw  
screw screw1  
screw screw2  
screw screw3  
screw screw4

object box  
box box1  
object base  
base base1  
object hole  
hole hole1  
hole hole2  
hole hole3  
hole hole4



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# ENVIRONMENT LOGICAL DESCRIPTION

## Actions and rules

rule screwed\_on(screw #1,hole #2) AND set\_on(base1,box1) => blocked\_on(base1,box1)

action null: dummy(lefthand) => dummy(box1)

action picking: near(lefthand,screw #1) AND set\_on(screw #1,hole #2) => ~set\_on(screw #1,hole #2)

: near(lefthand,ANY #2) => inhand(ANY #2)

: near(lefthand,ANY #2) AND inhand(ANY #2) => ~inhand(ANY #2)

action setting: near(lefthand,screw #1) AND near(screw #1,hole #2) => set\_on(screw #1,hole #2)

action screwing: near(lefthand,screw #1) AND set\_on(screw #1,hole #2) => screwed\_on(screw #1,hole #2)

action unscrewing: near(lefthand,screw #1) AND screwed\_on(screw #1,hole #2) => ~screwed\_on(screw #1,hole #2)



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# ENVIRONMENT LOGICAL DESCRIPTION

## Prior probability vectors

priors dummy(lefthand)  
null 0.2  
picking 0.2  
setting 0.2  
screwing 0.2  
unscrewing 0.2

priors inhand(screw) AND ~near(lefthand,hole)  
null 0.5  
picking 0.5

priors inhand(screw) AND near(lefthand,hole)  
null 0.3  
picking 0.2  
setting 0.5

priors inhand(base1) AND near(lefthand,box1)  
null 0.5  
setting 0.5

priors inhand(base1) AND ~near(lefthand,box1)  
null 0.5  
picking 0.5

priors near(lefthand,screw #1) AND ~inhand(screw #1) AND ~screwed\_on(screw #1,hole #2) AND ~inhand(base1) AND ~set\_on(screw #1,hole #2)  
null 0.4  
picking 0.6

priors near(lefthand,screw #1) AND ~inhand(screw #1) AND ~screwed\_on(screw #1,hole #2) AND ~inhand(base1) AND set\_on(screw #1,hole #2)  
null 0.3  
picking 0.2  
screwing 0.5

priors near(lefthand,screw #1) AND ~inhand(screw #1) AND screwed\_on(screw #1,hole #2) AND ~inhand(base1)  
null 0.5  
unscrewing 0.5

priors near(lefthand,base1) AND ~inhand(base1) AND ~blocked\_on(base1,box1)  
null 0.4  
picking 0.6



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# EFFICIENCY

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# EFFICIENCY

- The system has been tested using examples of the 5 gestures produced by 5 users.
- Every user has been asked to perform every gesture for a minute.
- To build the codebook the 11 angular values describing the fingerpositions, sampled at 1 MHz are reduced to 3 series of data through a PCA, then the Fourier transform is applied on overlapping time windows of 50 samples.
- The resulting sample series have been divided in sequences of 8 symbols. The system has been validated splitting the set of examples into 5 subset, used 4 by 4 as training set



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# EFFICIENCY

- Confusion matrix for recognized gestures using ML training:

	null	pick	set	screw	unscrew
null	91,50%	1,81%	1,01%	3,36%	2,32%
pick	8,00%	66,80%	7,10%	13,10%	5,00%
set	6,00%	10,10%	70,20%	4,80%	8,90%
screw	7,00%	8,00%	3,00%	66,00%	16,00%
unscrew	6,80%	6,40%	6,14%	13,16%	68,00%

Accuracy :73%





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# EFFICIENCY

- Confusion matrix for recognized gestures:

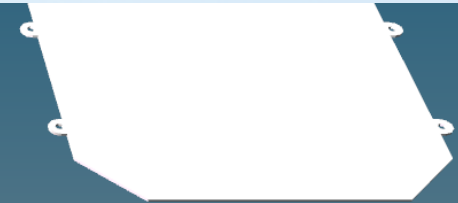
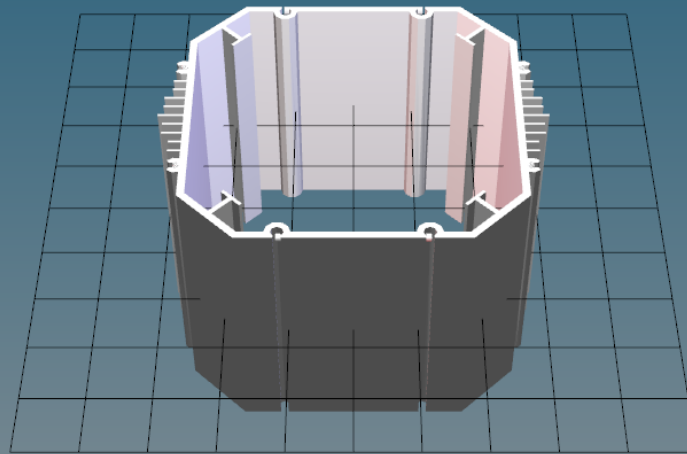
	null	pick	set	screw	unscrew
null	94,65%	0,00%	5,35%	0,00%	0,00%
pick	0,00%	92,65%	0,00%	3,67%	3,67%
set	6,07%	1,62%	89,07%	2,02%	1,21%
screw	0,84%	4,20%	0,00%	83,19%	11,77%
unscrew	0,00%	0,80%	0,00%	9,56%	89,64%

Accuracy :89.9%



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# EFFICIENCY



Null	0.34148
Pick	0.48296
Set	0.0585201
Screw	0.0585201
Unscrew	0.0585201

Glove tool

Port: [ 10 ]  
setting: [ ]  
left right  
Filter: [ ]  
Filter  
Avatar  
Info



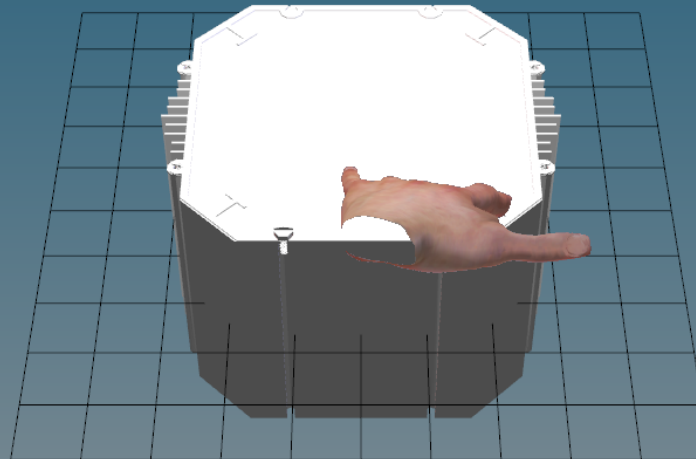
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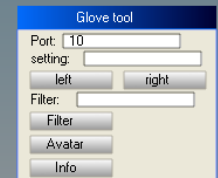
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# EFFICIENCY

screw1 picked up  
screw3 Screwed On hole4  
screw3 Screwed On hole4  
screw3 Screwed On hole4  
screw3 Screwed On hole4  
screw3 Screwed On hole4  
screw3 Set on hole4  
screw3 picked up  
screw3 picked up  
screw3 released  
screw3 released  
screw3 picked up  
screw3 Set on hole4  
screw3 picked up



Null	0.3
Pick	0.3
Set	0.3
Screw	0.05
Unscrew	0.05





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# EFFICIENCY

Null/Pick/Screw – Confusion Matrix  
*(Hand near to a non screwed screw)*

	Null	Pick	Unscrew
Null	100,00%	0,00%	0,00%
Pick	0,00%	93,47%	6,53%
Unscrew	0,84%	2,10%	97,06%

Accuracy: 96.83%

Null/Screw/Unscrew – Confusion Matrix  
*(Hand near to an half screwed screw)*

	Null	Screw	Unscrew
Null	100,00%	0,00%	0,00%
Screw	1,68%	86,56%	11,77%
Unscrew	0,00%	9,96%	90,04%

Accuracy: 92.21%



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# SUMMARY

A test shown how adapting parameters with MMI produces a better classification performance compared with the usual ML-based training presented in literature, especially in the classification of similar gestures.

The algorithm high computational requirements have been compensated selecting a subset of the training samples at every step, without affecting the recognition performance of the algorithm.

The introduction of environment information produced a further general performance improvement. The proposed fuzzy logic simplified inference system assures an efficient realtime update of environment description according to user actions.



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THANKS.

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